

THE AUDIT AND GOVERNANCE COMMITTEE - WORK PROGRAMME 2020/21

28 JULY 2020					
	BUSINESS	LEAD OFFICER	COMMITTEE DATE		STATUS/COMMENT
			Original	Revised	
	Draft Annual Financial Report 2019/20	Liz Keys	28 July 2020		
	Annual Governance Statement	Simon Howson	28 July 2020		
	Treasury Management Outturn 2019/20	Neil Aitken	28 July 2020		
	Governance Quarterly Update – Q4 2019/20 and Q1 2020/21	Simon Howson	28 July 2020		
	Annual Internal Audit Report 19/20	Simon Howson	28 July 2020		
12 NOVEMBER 2020					
	BUSINESS	LEAD OFFICER	COMMITTEE DATE		STATUS/COMMENT
			Original	Revised	
	Audit Results Report for the Year Ended 31 March 2019 (AG039)	Kevin Suter – Associate Partner, Ernst and Young	12 November 2020		
	Governance Quarterly Update Q2 2020/21 (AG035)	Lisa Kirkman	12 November 2020		
	Treasury Management mid-year monitoring report 2020/21 (AG034)	Lisa Kirkman	12 November 2020		
	Internal Audit External Quality Assessment paper (AG036)	Antony Harvey – Chief Internal Auditor Southern Internal Audit Partnership	12 November 2020		
	Annual Financial Report 2019/20 (AG038)	Liz Keys	12 November 2020		

4 MARCH 2020

	BUSINESS	LEAD OFFICER	COMMITTEE DATE		STATUS/COMMENT
	Internal Audit Plan 2021/22 (including the Internal Audit Work Programme).	Antony Harvey – Chief Internal Auditor Southern Internal Audit Partnership	4 March 2021		
	Internal Audit Charter 2021/22	Antony Harvey – Chief Internal Auditor Southern Internal Audit Partnership	4 March 2021		
	Certification of Claims and Returns Annual Report 2019/20	Liz Keys	4 March 2021		
	Governance Quarterly update Q3 2020/21	Lisa Kirkman	4 March 2021		
	Local Code of Governance 2021	Lisa Kirkman	4 March 2021		
	Planning for and the audit of the accounts 2020/21	Liz Keys	4 March 2021		
	Complaints update (Customers)	Lisa Kirkman	4 March 2021		
	Annual Audit Letter 2019/20	Kevin Suter – Associate Partner, Ernst and Young	4 March 2021		
	Code of Conduct End of Year Review (Annual Standards Report)	Lisa Kirkman	4 March 2021	28 July 2020	
	Annual Audit Fee 2020/2021	Kevin Suter – Associate Partner, Ernst and Young	4 March 2021	12 November 2020	
	Risk Management Policy (CAB3245)	Simon Howson	4 March 2021	12 November 2020	
	Pay Policy		4 March 2021	12 November 2020	
	Public Sector Equality Duty Audit report	Catherine Knight	4 March 2021		

Items for 2021/22